



Mechanisms of tone sandhi rule application by tonal and non-tonal non-native speakers

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ABSTRACT

This study is the first comprehensive acoustic study to examine the acquisition of two Mandarin tone sandhi rules: the third tone sandhi and the more phonetically motivated, half-third sandhi rule by both tonal (Cantonese) and non-tonal (American English) speakers using a Wug Test. Participants were asked to form disyllables from two monosyllabic morphemes. To test for the operation of the lexical versus the computation mechanisms in sandhi rule application, both real and various types of wug (nonsense) morphemes were included. Functional data analysis revealed that Cantonese and American speakers apply the two rules similarly on both real words and wug words, suggesting that the sandhi forms are stored as part of the representation of the abstract Tone 3 (T3) category, and computation of allophonic variants is likely to be involved during production. However, in their computation of tone sandhi rules, L2 learners showed less detailed and less accurate production of tonal contours compared to native speakers, due, perhaps, to less detailed phonological representations of allophonic variants. In general, Cantonese speakers performed better than American speakers. Perceptual mapping between Mandarin sandhi T3 to existing Cantonese tone categories may be responsible for the observed pitch contours among Cantonese speakers. Finally, no phonetic bias was found in the application of the two sandhi rules among these groups of L2 learners, which is likely due to more variability in L2's speech, obscuring any differences that may exist.

1. Introduction

Tone sandhi is defined as a type of tonal alternation triggered by certain phonological environments (e.g., Chen, 2000; Chen, 2012). Mandarin has two sandhi patterns involving Tone 3 (T3), known as third-tone sandhi and half-third sandhi (Zhang and Lai, 2010), respectively. The half-third sandhi rule is considered to be more phonetically motivated than the third-tone sandhi rule, and is more easily and accurately acquired (Zhang and Lai, 2010).

Numerous perceptual studies have been conducted to examine the effects of first language (L1) background on isolated Mandarin tone perception (Hallé et al., 2004; Hao, 2012; Ning et al., 2014; Wu et al., 2014; Zhang et al., 2012; Wang, 2013), and a few have examined tone perception in disyllabic contexts (Broselow et al., 1987; He, 2013, 2014; Hao, 2012, 2018). In contrast, relatively fewer studies have examined

the effects of L1 linguistic background on lexical tone production in Mandarin monosyllables or disyllables, particularly variants of T3 in sandhi contexts. Perceptual studies revealed difficulties in Mandarin tone identification and discrimination among non-native listeners from both tonal and non-tonal linguistic backgrounds. However, it remains inconclusive whether tonal listeners have an advantage over non-tonal listeners in isolated tone perception of a foreign tonal language (see e.g., Wayland and Guion, 2004; Hao, 2012; So and Best, 2010). Non-tonal speakers may have difficulty in perception but not necessarily in production (Dong et al., 2013). Hao (2012) also suggests that tonal L2 speakers may not have an advantage over non-tonal L2 speakers in perception and production of Mandarin tones. Yang (2015) further points out that the established tonal categories in tonal L2 learners interfere with acquisition of Mandarin tones, and non-tonal L2 learners' production accuracy may increase once new tonal categories have been formed.

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Two models have been proposed to account for perception and production of non-native phonemic categories: the Perceptual Assimilation Model (PAM; e.g. Best, 1995) and the Speech Learning Model (SLM; e.g. Flege, 1995). PAM predicts degrees of perceptual discriminability of non-native contrasts based on how they are assimilated to existing L1 categories, driven by articulatory features. SLM focuses on perceived differences between L1 and L2 sounds and the ability to form new phonemic categories. For SLM, production accuracy may depend directly on perceptual ability.

Only a few studies have examined the acquisition of allophonic variations at the segmental level, and L1 acquisition theories based on the notions of transfer and markedness are typically used to explain the results. For example, Shoemaker (2014) attributed superior acquisition of English prevocalic glottalic stop over aspiration as a word boundary cue among French speakers to the universally more salient nature of the glottal stop over aspiration. Macleod and Fabiano-Smith (2015) examined acquisition of stop-spirant alternations in Spanish-English and stop-affricate alternation in French-English bilingual children and suggested that the higher error rates in the production of velar spirants in comparison to the bilabial spirants among Spanish-English bilingual children is due to the fact that the velar place of articulation is more marked cross-linguistically than the bilabial place of articulation. On the other hand, the faster rate of stop-affricate allophone acquisition among French-English bilinguals over French monolinguals was attributed to the use of articulatory knowledge from English. The results are consistent with the Processing Rich Information from Interactive Representations (PRIMIR) model, which suggests that children make use of statistical regularities to process and store information during phonological acquisition (Werker and Curtin, 2005). Curtin et al. (2011) further proposes that the interactions between a bilingual child’s two languages should be accounted for, and a bilingual child may create a unique phonological representation.

At the supra-segmental level, allophonic representations of tones have been explored more among native speakers. Evidence has been found to support the multiple variant representation account, suggesting that the allophonic variant of the third sandhi tone is stored together with the canonical representation of T3 (Chen et al., 2011; Li and Chen, 2015). Fewer studies have examined the acquisition of variants of T3 by second language learners. Zhang (2017) found that the beginner and intermediate American learners tended to overproduce the full-T3 to substitute the half third sandhi tone variant, whereas the advanced learners could finally acquire half third sandhi tone due to greater exposure to the target language. The current study fills this research gap by examining alternations of lexical tones among non-native speakers. To this end, production of Mandarin Tone 3 in two sandhi contexts by native speakers of English and native speakers of Cantonese are compared to each other and to native Mandarin speakers’ production.

1.1. Mandarin and Cantonese Tones

Mandarin Chinese has four lexically contrastive tones, with Mandarin Tone 1 having high-level pitch (55), Tone 2 having high-rising pitch (35), Tone 3 having low-dipping pitch (213) and Tone 4 high-falling pitch (41). The Chao tone numbers used in describing each tone reflect the starting and ending point of the speaker’s voice pitch on a scale from 1 to 5, where 1 indicates the lowest pitch of the speaker and 5 the highest (Chao, 1948). The four contrastive tones are commonly illustrated with the syllable [ma]: [ma]1(55) ‘mother’; [ma]2(35) ‘hemp’; [ma]3(213) ‘horse’; [ma]4(41) ‘to scold’. The pitch tracks of the four tones averaged over four syllables produced by seven speakers are given in Fig. 1. Figure Fig. 1

With three level tones and three contour tones in open syllables, the tone system in Cantonese is more complex than in Mandarin. These are illustrated in [fu] syllables: fu1 (55/53) ‘to call’; fu2 (25) ‘bitter’; fu3 (33) ‘rich’; fu4 (21) ‘to hold’; fu5 (23) ‘woman’ and fu6 (22) ‘rotten’. The additional three tones on the checked syllables are viewed as counter-

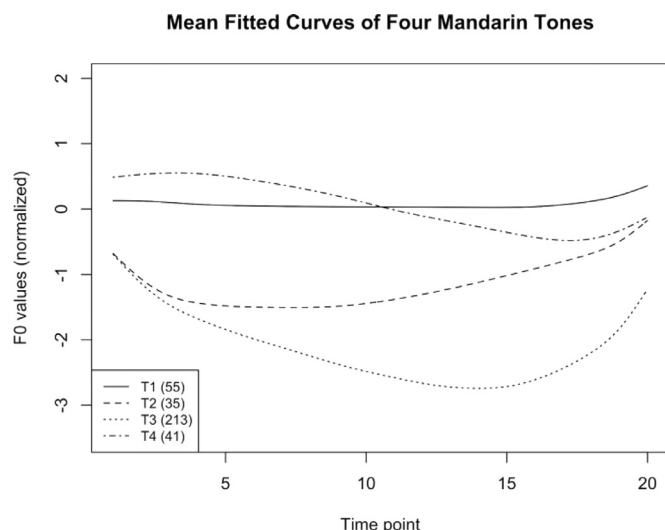


Fig. 1. Four time-normalized Mandarin tones based on the mean F0 values calculated from four syllables (/fu/, /ji/, /ʃv/ and /ʃi/) produced by seven female speakers.

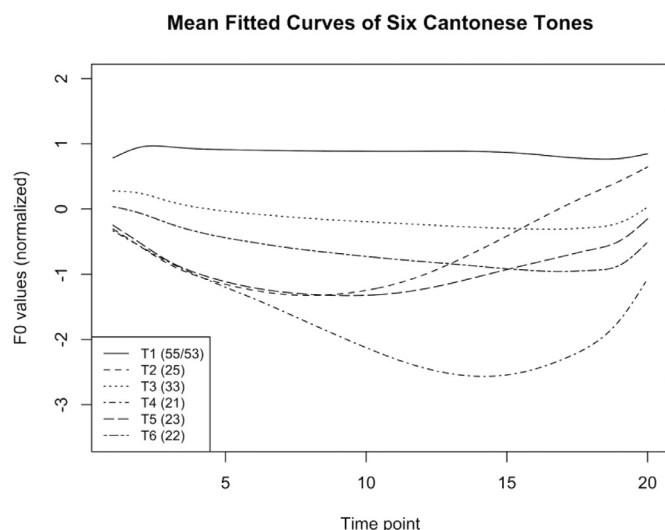


Fig. 2. Six time-normalized Cantonese tones based on the mean F0 values calculated from four syllables (/fu/, /ji/, /sɛ/ and /si/) produced by seven female speakers.

parts of three level tones in open syllables (Bauer and Benedict, 1997; Matthews, and Yip, 1994): The pitch tracks of the six tones averaged across four open syllables produced by seven female speakers are given in Fig. 2.

1.2. Tone Sandhi in Mandarin Chinese and Cantonese

In Mandarin Chinese, two sandhi patterns exist: the third-tone sandhi and the half-third sandhi rules, which are synchronically productive in both disyllables and phrases (Cheng, 1986; Chao, 1948, 1968; Zhang and Lai, 2010). As exemplified in (a) below, Mandarin Tone 3 (213) becomes Mandarin Tone 2 (35) when followed by another 213; but 213 becomes 21 when followed by any other Mandarin tones (b).

Mandarin tone sandhi

- T3(213) → T2 (35)/__ T3(213) (third-tone sandhi) ni213 xau213 → ni35 xau213 “hello”
- T3(213) → 21/_ {T1(55), T2(35), T4(41)} (half-third sandhi) mei 213 tʰiən55 → mei 21 tʰiən 55 “every day” mei 213 kʰoo35 → mei

21 k^{hw}ㄛ 35 “the United States of America” mei 213 ㄩⁱ51 → mei 21 ㄩⁱ51 “beautiful”

This more traditional proposal treated the full-T3 (214) as the underlying form of T3, and the two variants are the third sandhi tone (35) in rule (a) and half third sandhi tone (21) in rule (b). Zhang (2017) noted that the question about the underlying form of T3 is still open, where the half third sandhi tone may be taken as the underlying form, but the traditional proposal is well accepted in the field of teaching Chinese as a second language. Even though both sandhi processes are considered phonological involving tonal alternation patterns not predictable by tonal coarticulation, the half-third sandhi is considered more phonetically motivated. Specifically, the half-third sandhi involves the truncation of the second half of the pitch contour, consistent with the phonetic mechanism of a tone change in short syllables, which exhibits post-lexical rather than lexical characteristics (e.g., being independent of syntactic brackets, allophonic and applied across the board) and does not correspond to a historical sandhi pattern (Zhang and Lai, 2010). In contrast, while contextual pitch modification (i.e., tonal coarticulation) occurs in Hong Kong Cantonese, there is a lack of tone sandhi patterns in the proper sense. Cantonese is reported to have tone alternation in restricted situations, such as in compounds and reduplicated expressions. For example, *yauh* “right” bears a low level tone, but the level tone changes to a rising tone in a compound. This tone alternation is often referred to as tone change instead of tone sandhi (Matthews and Yip, 1994) because unlike Mandarin tone sandhi rules, where it is applied as long as the phonological environment is met, Cantonese tone change is not widely applicable, and only occurs in certain situations.

1.3. Computation Versus Lexical Mechanisms in Tone Sandhi Application

With regards to tone sandhi, the question remains whether the sandhi contour is encoded and stored lexically as part of the morpheme (lexical mechanism) or as part of the abstract tonal category and contextually compiled (computation mechanism) (Nixon et al., 2015; Zhang et al., 2015). Specifically, lexical mechanism refers to the encoding and accessing of the surface tone sandhi contours stored as part of the morpheme. Evidence in support of the lexical mechanism in sandhi rule application comes from findings that tone sandhi rules only apply to real words (Hsieh, 1970, 1975, 1976; Zhang et al., 2011), and that production of tone sandhi in real words and wug words are acoustically different (Zhang and Peng, 2013; Chen and Li, 2017, accepted). The computation mechanism, on the other hand, refers to the encoding and compiling of the sandhi and non-sandhi forms (phonological alternation) stored as part of the abstract tonal category based on the phonological contexts. Evidence for this mechanism comes from both behavioral and neurophysiological studies (e.g., Politzer-Ahles and Zhang, *in press*; Zhang et al., 2015). For instance, Politzer-Ahles and Zhang (2015)’s finding suggested that Mandarin disyllabic words bearing a two-T3 string are stored as the two-T3 string underlyingly and are turned into surface forms before articulation. This result is consistent with findings from an ERP study (Zhang et al., 2015) in which phonological encoding of Mandarin T3 was more effortful in sandhi than in non-sandhi context. Moreover, Nixon et al. (2015) offers evidence to suggest that both phonemic (category) and sub-phonemic (context-specific) representations are activated in Sandhi word production by native Mandarin speakers.

In the current study, sandhi tone production in real words and wug words by native and second-language learners of Mandarin were acoustically compared to reveal similarities and differences in tone sandhi applications and the underlying mechanism involved.

1.4. Effects of L1 Experience on Tone Production

A few production studies have investigated tone production in isolated tones and disyllabic tonal combinations by L2 learners. English speakers are found to use a narrower pitch range than do native speakers (Chen, 1974; Tu et al., 2014; White, 1981). For the production of

isolated tones by American speakers, it is generally reported that T1 and T4 are easier than T2 and T3 in both perception and production (Lee et al., 2008; Wang et al., 2003; Elliott, 1991; Sun, 1997; Chen, 1997; Leather, 1990), though Shen (1989) found a different hierarchy and Miracle (1989) found evenly distributed errors across tones. There were 12 possible error types in the production of Cantonese speakers (e.g., T1-to-T2, T1-to-T3, T1-to-T4, T4-to-T3), where T2-to-T3 and T3-to-T2 confusions were the most highly significant (Hao, 2012). The production error types summarized from Wang et al. (2003), Miracle (1989) and Hao (2012) are compared in Table 1. Wang et al. (2003) pointed out that American speakers tended to mispronounce T1 as T2 or T4 and T4 as T1 or T3, and they also confused T2 with T3. Miracle (1989) described the errors in detail for each mispronounced tone by American English speakers and Hao (2012) listed all the errors committed by Cantonese speakers. Note that there is little agreement on the mistakes made by American learners. The observed variability in the reported error patterns may be due to various factors such as differences in proficiency, the mode of instruction, and the nature of the input across studies.

Both English and Cantonese speakers have most problems with perception and production with Mandarin T2-T3 pair, and Cantonese speakers have additional difficulty with the T1-T4 pair (Hao, 2012; So and Best, 2010). An experiment of perceptual assimilation of Mandarin tones to Cantonese tones showed that both T1 and T4 were mapped onto overlapping Cantonese tones, and hence, were difficult to distinguish. However, T2 and T3 were mapped onto different Cantonese tones, and thus cannot be explained by PAM. Instead, the difficulty may lie in acoustic similarity (Hao, 2012).

Error patterns have also been identified in studies of disyllabic tones. Hao (2012) points out that the production of monosyllables is more accurate than that of disyllables. Error rates were the highest on the initial syllable, lower on the final position of disyllables, and lowest in monosyllables (Hao, 2012). Similar results were found in English speakers (He, 2013, 2014) and Japanese speakers (Dong et al., 2013).

2. The Current Study

Although production of isolated tones and tonal combinations have been investigated, third tone sandhi pairs were usually excluded from the above studies involving disyllabic tones (Hao, 2012; He, 2014; Dong et al., 2013). Some preliminary studies report that the computation mechanism might be involved in tone sandhi application by tonal speakers (Chen et al., 2017a). It is yet unknown whether tonal and non-tonal speakers perform differently in producing the two Mandarin tone sandhi rules on both real words and different types of wug words.

In this study, we examined the ability to produce Mandarin tones in sandhi contexts among a group of tonal (Cantonese) speakers and a group of non-tonal (American English) speakers who are learners of Mandarin using a wug test paradigm (Berko, 1958). This paradigm, widely used to test productivity of morphophonological rules and alternations (e.g., Hsieh, 1970, 1975, 1976; Bybee and Pardo, 1981; Wang, 1993; Albright et al., 2001, 2002, 2003, Pierrehumbert, 2006; Zuraw, 2000, 2007; Hayes and Londe, 2006) allows us to test the speakers’ knowledge of a phonological pattern.

The three research questions we explored are:

1. Are Mandarin sandhi tonal contours produced on real words and wug words similarly among native speakers of another tone language (Cantonese) and native speakers of non-tone language (American English)?
2. Are Mandarin allotonic tonal variations produced in both real words and wug words by Cantonese and American English speakers equally as accurate as native Mandarin speakers?
3. Is the production of the third-tone and the half-third tone sandhi equally as accurate in real words and wug words among Cantonese and American English speakers? Is there a phonetic bias in rule applications?

Table 1
Mispronounced tones by American and Cantonese speakers.

Mandarin Tones	American Speakers		Cantonese speakers
T1	Mispronounced as (Wang et al., 2003)	Tonal register and contour errors (Miracle, 1989)	Significantly mispronounced as (e.g. Hao, 2012)
T2	T2, T4	Too low; falling tone	T4
T3	T3	High beginning of the tone; falling tone or level tone	T3
T4	T2	Too high; Rising tone	T2
	T1, T3	Mid beginning of the tone; N.A	T1

With regards to the first research question, there exists a debate of whether the sandhi contour is stored lexically as part of the morpheme or as part of the tonal category among native Mandarin speakers. As pointed out by Nixon et al. (2015), “If the sandhi contour is processed as part of a purely abstract Tone 3 category, effects should be equal for all morphemes. However, if the contour is processed by exemplar, morphemes which rarely occur in sandhi contexts should see significant attenuation of the contour effect relative to morphemes that frequently occur in sandhi contexts” (p. 501). However, it is currently unknown if and how the two mechanisms are involved in sandhi tone production by learners of Mandarin from different L1 backgrounds. Similarity in sandhi tone production in real words and wug words would suggest that sandhi contours may be represented as part of the abstract T3 category and computed on-line in contexts. However, according to Clahsen and Felser (2006), “The syntactic representations adult L2 learners compute during comprehension are shallower and less detailed than those of native speakers” (p. 3). If this ‘shallow’ hypothesis also applies to the phonology domain of language, it is possible that the representations of sandhi tone among Cantonese and American English speakers may also be less detailed and, therefore, their conversion to motor programs for production is also less accurate than that of native Mandarin speakers. However, with relatively greater native experience with tone production, Cantonese speakers may be more accurate in both establishing and translating sandhi tone representations to articulation than American English speakers, hence the hypothesis for our second research question. For the third research question, it has been claimed that the half-third sandhi is more phonetically motivated as it involves the truncation of the second half of the pitch contour, a mechanism deemed phonetically natural of a tone change in short syllables (Zhang and Lai, 2010). Thus, half-third sandhi tone production may be more accurate than third-tone sandhi production among both Cantonese and American English speakers. However, various different results were reported for L2 learners. Zhang (2013) found that American learners tend to perform better in the third tone sandhi rule, whereas Yang (2016) found the half-third sandhi rule to be easier for American learners. The current study used Cantonese and American learners to test whether there is a phonetic bias in rule applications in second language acquisition.

2.1. Methodology

2.1.1. Subjects

A total of 23 learners were recruited in this study, 12 of which were native Cantonese (NC) speakers (age: 22.5 ± 2.15 , Mean \pm SD; starting age: 18.17 ± 2.21 ; years of learning: 2.33 ± 0.89) and 11 were native English (NE) speakers (age: 22 ± 1.79 ; starting age: 18.27 ± 2.49 ; years of learning: 3.0 ± 0.92). The age of participants starting to learn Mandarin and the length of their Mandarin study were controlled so they were similar between NC and NE groups. All the Cantonese and American speakers were intermediate learners of Mandarin. Based on their self-reported proficiency scores [scale 1(low proficiency)-5(high proficiency)] on four aspects of reading, listening, speaking and writing, we calculated proficiency scores for both groups [NC (mean(sd) = 3.38(0.75); NE (mean(sd) = 3.21(0.45)]. Regarding instructions, the third sandhi rule is introduced as a linguistic rule to American speakers and is also introduced to Cantonese speakers in the classroom. Twelve native Mandarin (NM) speakers (age: 26.25 ± 4.61), who had lived in Beijing for most of

their lives (22.83 ± 3.43), were included as the control group. No participants reported speaking or hearing problems. We followed the procedure of power analysis for linear mixed effects models to achieve an effect size of -0.05 with two variables (group and word type), requiring 20 subjects in total to achieve the power of 80%. So in our experiment, we recruited 35 subjects in total.

All participants were paid for their participation and signed informed consent forms approved by the Human Subjects Ethics Sub-committee of the Hong Kong Polytechnic University and the University of North Georgia IRB (Internal Review Board). The Cantonese participants were recorded wearing a GMH C 8.100 D headset at the speech lab of the Hong Kong Polytechnic University. The American participants were recorded by a Sanako SLH07 headset in a quiet room of University of North Georgia.

2.1.2. Stimuli

Based on previous studies (Zhang and Lai, 2010; Hsieh, 1970, 1975, 1976; Zhang and Peng, 2013), we used six types of stimuli as listed below. The first type is real words, and all the other types are wug words.

1. Real disyllabic words (AO-AO, where AO stands for actual occurring morphemes);
2. Non-occurring sequences consisting of real morphemes (*AO-AO);
3. Sequences of a real morpheme and a syllable of an accidental gap, which has a legal syllable but bears a tone not allowed on that syllable (AO-AG, where AG stands for accidental gap);
4. Sequences of a syllable of an accidental gap and a real morpheme (AG-AO)
5. Sequences of two syllables of accidental gaps (AG-AG)
6. Sequences of two pseudo words, where the combination of vowels and consonants do not exist in Mandarin, but the vowel and consonant components of each syllable do exist.

Compared to previous studies (e.g. Zhang and Lai, 2010), we improved their research design by including more types of wug words, controlling for the vowels in the first syllable of all types of diagrams by making them similar to avoid the intrinsic F0 effect from vowels on pitch contours (Hombert, 1977; House and Fairbanks, 1953). Pairs with aspirated and unaspirated onsets were not included to avoid consonant perturbation effect on F0 values (Xu and Xu, 2003). Similar to Zhang and Lai (2010), all the chosen diagrams and individual characters were highly frequent, selected from character and diagram frequency corpus (Da, 2004). We also ensured that disyllabic wug words were not real words in any tonal combinations to avoid neighborhood effects.

Filler words consisting of real words and wug words were also included to avoid revealing the purpose of the experiment. In total, there were 192 target stimuli and 192 filler words with all possible tonal combinations. Twenty-four blocks of the stimuli (four blocks for each type) were presented to the participants, where the order of presentation was counterbalanced across participants. We recorded all the monosyllabic stimuli read by a native Mandarin speaker born in Beijing, and T3 was pronounced as full third tones with a falling-rising F0 contour. We used E-prime to present monosyllables and their characters (if available) and phonetic symbols (pinyin) along with sounds. Participants heard two monosyllables presented to them with 800 ms between the two monosyllables. Participants were given instructions and demonstrated how to

put the two monosyllables together to form a disyllabic word in Mandarin using some filler words. They were instructed to speak at a normal speaking rate and to self-correct when necessary. Practice sessions and instructions with examples were also offered before the experimental session. Before the experiments, all participants familiarized themselves with all the real words and monosyllables in wug words. We also tested them by letting them write down the characters and translation to make sure that they knew the meaning of all the real words.

2.1.3. Acoustic and Statistical Analyses

The vowel portions of the recordings were first segmented, and F0 values were extracted at 20 normalized time points using the ProsodyPro Praat script (Xu, 2013). After normalizing F0 values, we applied functional data analysis (Chen et al., 2017b; Ramsay and Silverman, 2005, 2009) to model F0 contours and compare pairs of contours, such as contours produced by native versus non-native speakers. Levitin et al. (2007) argued that traditional statistics such as ANOVA, repeated measures ANOVA and GLM-based models are inferior to functional data analysis as they can only answer simple questions with some assumptions violated. In modelling F0 curves, growth curve analysis and functional data analysis are two current statistical methods used to test statistical differences in pairs of F0 contours (e.g. Li and Chen, 2016; Chen et al., 2017b; Mirman, 2014). Growth curve analysis can be used to fit a pair of F0 curves, and statistically test if the two curves are significantly different in slope and intercept. Functional t-tests fit F0 curves using basis functions instead of polynomials and can further specify the regions where two curves are significantly different.

Specifically, we fit pairs of normalized F0 contours with the following model:

$$y_i(t_j) = f_i(t_j) + \varepsilon_{ij} \quad (1)$$

where $y_i(t_j)$ is the normalized F0 value at time point t_j for the utterance i by each individual and $i = 1, \dots, n$ and $j = 1, \dots, m$. The error term ε_{ij} follows a normal distribution $N(0, \sigma^2)$. For each pair of surface F0 contours, we chose 20 break points and used four B-spline basis functions to fit surface F0 curves. We made use of a generalized cross-validation measure (GCV) to determine the best values for the smoothing parameter λ . After fitting F0 contours, we proceeded to conduct functional t-tests to test whether there were differences between any pair of F0 contours. Two hundred random samples were simulated to calculate observed t-statistic, point-wise 0.05 critical value and maximum 0.05 critical value. Statistical differences between two F0 contours were indicated when observed t-statistics exceeded critical values.

3.0. Results

3.1. Performance of Cantonese Learners

3.1.1. Third Tone Sandhi Rule

F0 contours of the first sandhi T3 in the disyllabic tonal context T3+T3 both on real and wug Mandarin syllables showed significant differences between Beijing Mandarin speakers and Cantonese speakers. Fig. 3 plots the mean fitted F0 curves produced by two groups of speakers.

For the sandhi T3 on real syllables, Cantonese speakers tended to produce lower F0 values than Mandarin speakers. The mean fitted values on several points within the significant areas are reported in Table 2. The results showed significant differences by functional t-test from around the middle part to the end (observed t-statistic exceeding maximum 0.05 critical value in about 45–98% of the vowel).

In addition, Cantonese speakers were also significantly different from Mandarin speakers in producing the sandhi T3 on wug syllables in general (*AO+AO, AO+AG, AG+AO, AG+AG and Pseudo words), as plotted in Fig. 3. Based on functional data analysis, significant differences were found from around the middle part to the end of the F0 contours (observed t-statistic exceeding maximum 0.05 critical value in about

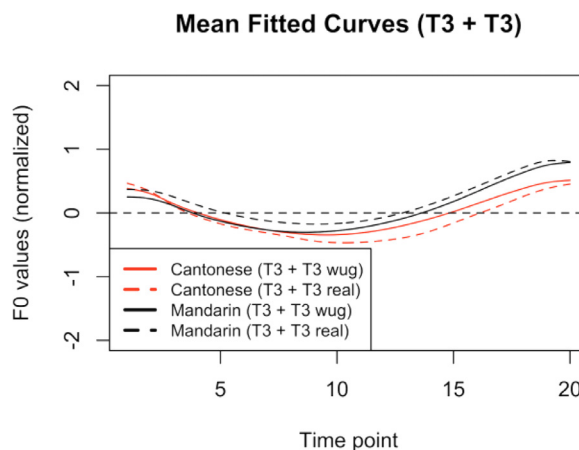


Fig. 3. Mean fitted curves of the first sandhi T3 in T3+T3 between Mandarin and Cantonese speakers. The dotted lines stand for real words, and solid lines stand for wug words. The red lines represent Cantonese speakers and the black lines represent Mandarin speakers.

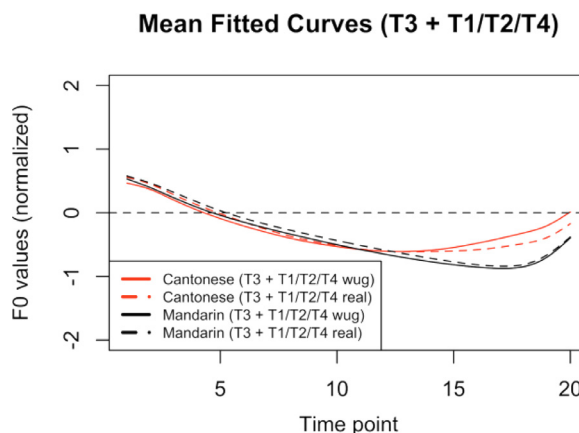


Fig. 4. Mean fitted curves of the first T3 in T3+T1/T2/T4 between Mandarin and Cantonese speakers. The dotted lines stand for real words, and solid lines stand for wug words. The red lines represent Cantonese speakers and the black lines represent Mandarin speakers.

1~4%, 56~100% of the vowel). Similar to real syllables, Cantonese speakers showed lower F0 values toward the end of the vowel, reported in Table 2.

Production of the sandhi T3 in T3+T3 on wug and real syllables was also compared for both Mandarin and Cantonese groups respectively, and yet neither group showed statistical differences in the third-tone sandhi application on wug vs. real syllables.

3.1.2. Half-third Tone Sandhi Rule

Fig. 4 plots the mean fitted curves in producing the first T3 in T3+T1, T3+T2 and T3+T4 from the Mandarin and Cantonese groups on both real and wug syllables. On both real and wug syllables, Mandarin speakers produced lower F0 values for a bigger portion of the contour toward the end. On real syllables, a smaller portion of significant differences was found toward the end of surface F0 contours between two groups of speakers (observed t-statistic exceeding maximum 0.05 critical values in about 82~96% of the vowel) as shown in Fig. 5. In contrast, Cantonese speakers stopped lowering F0 earlier and started to initiate a rising portion earlier than Mandarin speakers, though Mandarin speakers showed a steeper slope of rising toward the end of the contour.

In producing the half-third sandhi tone rule on wug syllables (*AO+AO, AO+AG, AG+AO, AG+AG and Pseudo words), Cantonese

Table 2

The mean fitted values of the sandhi T3 on real syllables and wug syllables within their significant regions.

Percentage (real)	Mandarin speakers	Cantonese speakers	Percentage (wug)	Mandarin speakers	Cantonese speakers
40%	-0.16	-0.37	20%	0.11	0.13
50%	-0.17	-0.47	40%	-0.30	-0.32
60%	-0.07	-0.44	60%	-0.17	-0.27
70%	0.13	-0.29	80%	0.49	0.27
80%	0.57	0.11	100%	0.79	0.51
90%	0.84	0.39			

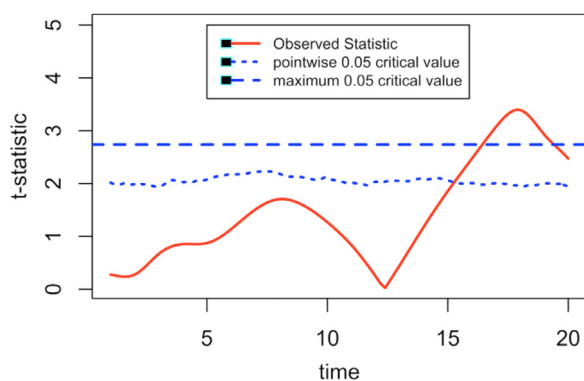


Fig. 5. A functional t -test of real syllables (T3 + T1/T2/T4) between Mandarin and Cantonese speakers. The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

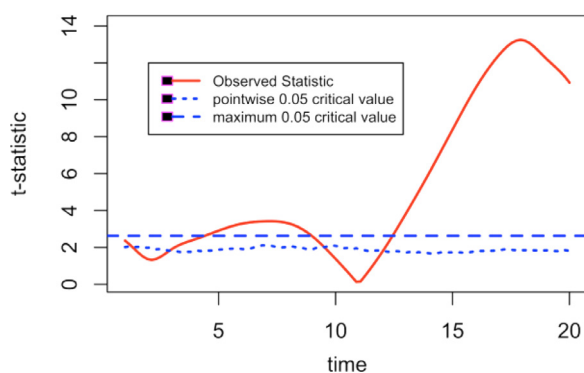


Fig. 6. A functional t -test of wug syllables (T3 + T1/T2/T4) between Mandarin and Cantonese speakers. The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

speakers also showed significant differences from Mandarin speakers. Fig. 4 plots the mean fitted values of the half-third sandhi tone on wug words produced by the two groups of speakers. The F0 contours showed significant differences in two parts (observed t -statistic exceeding maximum 0.05 critical value in about 18–42% and 61–100% of the vowel), plotted in Fig. 6. Compared to Mandarin speakers, Cantonese speakers produced lower F0 values for the first half, and then they raised the pitch contour earlier than Mandarin speakers.

A comparison between wug and real syllables of the first T3 in T3 + T1/T2/T4 produced by Beijing speakers showed statistical differences for a small portion (observed t -statistic exceeding maximum 0.05 critical value in about 28–36% of the vowel). The mean fitted F0 curves have slightly lower values for wug syllables than for real syllables. Similarly, we compared tone production on wug syllables and real syllables by Cantonese speakers, which showed no statistical significance (observed t -statistic did not exceed maximum 0.05 critical value). For wug

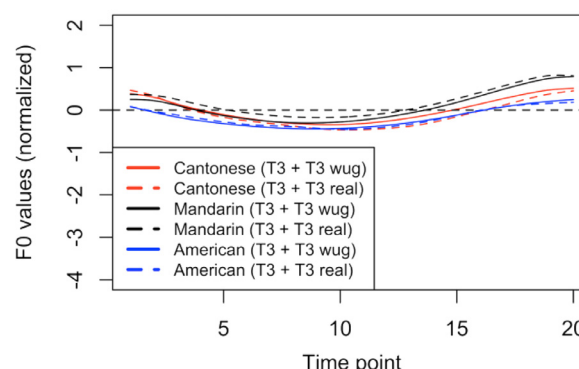
Mean Fitted Curves (T3 + T3)

Fig. 7. Mean fitted curves of the first sandhi T3 in T3 + T3 produced by Mandarin, Cantonese and American speakers. The dotted lines stand for real words, and solid lines for wug words. The red lines represent Cantonese speakers, the black lines Mandarin speakers and the blue lines American speakers.

syllables, Cantonese speakers tended to raise F0 contours more toward the end.

3.2. Performance of American Speakers

3.2.1. Third Tone Sandhi Rule

Significant differences were found on F0 contours of the first sandhi T3 in T3 + T3 on real syllables and wug syllables between Beijing Mandarin speakers and American speakers. Fig. 7 plots the mean fitted curves on real and wug words produced by American and Beijing speakers.

Table 3 reports the mean fitted values on several time points throughout vowels produced by the two groups of speakers for a comparison. It can be seen that for the sandhi T3 on real syllables, American speakers tended to produce much lower F0 values. There were significant differences based on a functional t -test (observed t -statistic exceeding maximum 0.05 critical value in about 2–26%, 39–100% of the vowel), as shown in Fig. 8.

In addition, American speakers were significantly different from Mandarin speakers in producing the sandhi T3 in the context T3 + T3 on wug syllables (*AO + AO, AO + AG, AG + AO, AG + AG and Pseudo words), as shown in Fig. 7. Significant differences were found over the entire F0 contours (observed t -statistic exceeding maximum 0.05 critical value in 100% of the vowel), as shown in Fig. 9. Similar to real syllables, American speakers showed lower F0 values than Beijing Mandarin speakers. However, their production of the sandhi T3 in T3 + T3 on real vs. wug syllables did not show any statistically significant differences.

3.2.2. Half-third Tone Sandhi Rule

Fig. 10 plots the mean fitted curves in producing the first T3 in T3 + T1, T3 + T2 and T3 + T4 from the Mandarin and American groups. Mandarin speakers produced lower F0 values through most of the vowels. A large portion of significant differences was found between Beijing

Table 3
The mean fitted values based on functional data analysis of real and wug syllables T3 + T3 within their significant regions.

Percentage (real)	Mandarin speakers	American speakers	Percentage (wug)	Mandarin speakers	American speakers
20%	0.12	-0.2	20%	0.11	-0.17
40%	-0.16	-0.39	40%	-0.30	-0.44
60%	-0.07	-0.40	60%	-0.17	-0.36
80%	0.57	0.08	80%	0.49	0.08
100%	0.81	0.18	100%	0.79	0.25

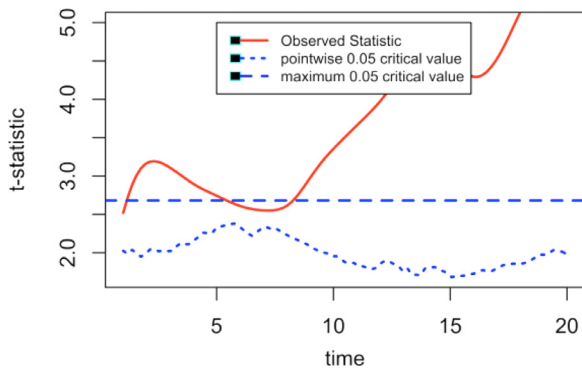


Fig. 8. A functional *t*-test of real syllables (T3 + T3) between Mandarin and American speakers. The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

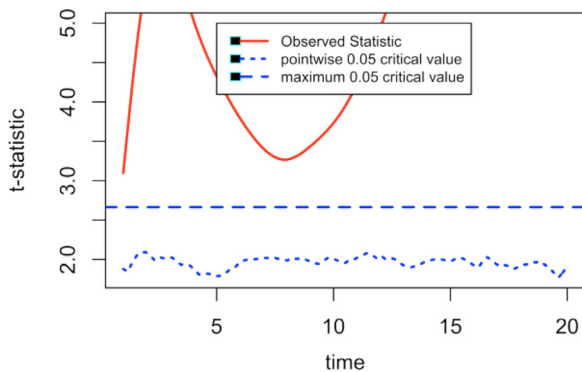


Fig. 9. A functional *t*-test of wug syllables (T3 in T3 + T3) between Mandarin and American speakers; The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

and American speakers (observed *t*-statistic exceeding maximum 0.05 critical value in about 31~100% of the vowel) as shown in Fig. 11.

In producing the half-third sandhi tone on wug syllables (*AO + AO, AO + AG, AG + AO, AG + AG and Pseudo words), American speakers also significantly differed from Mandarin speakers, as shown in Fig. 10. The F0 contours showed significant differences in two parts (observed *t*-statistic exceeding maximum 0.05 critical value in about 1~8% and 21~100% of the vowel), plotted in Fig. 12. American speakers produced significantly higher F0 values compared to Beijing Mandarin speakers. In addition, our results showed no statistical differences between wug and real syllables of the first T3 in T3 + T1/T2/T4.

3.3. Acquisition of Allophonic Variants by Cantonese and American Learners

Based on the modelling from functional data analysis, we presented the plots of two allophonic variants by Beijing, Cantonese and American speakers in Fig. 13–15. Fig. 13 suggests that the tonal contours of the two

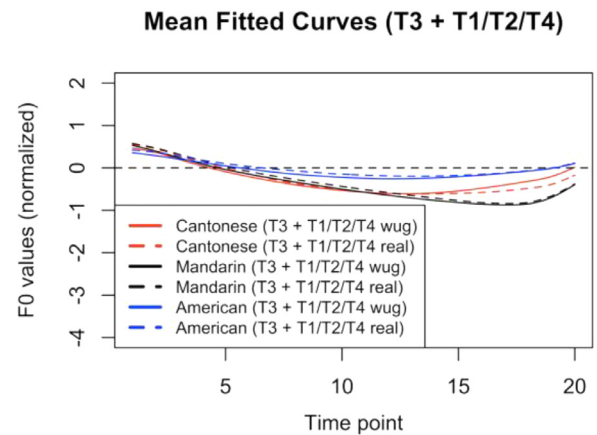


Fig. 10. Mean fitted curves of the first sandhi T3 in T3 + T1/T2/T4 between Mandarin and American speakers. The dotted lines stand for real words and solid lines for wug words. The red lines represent American speakers and the black lines Mandarin speakers.

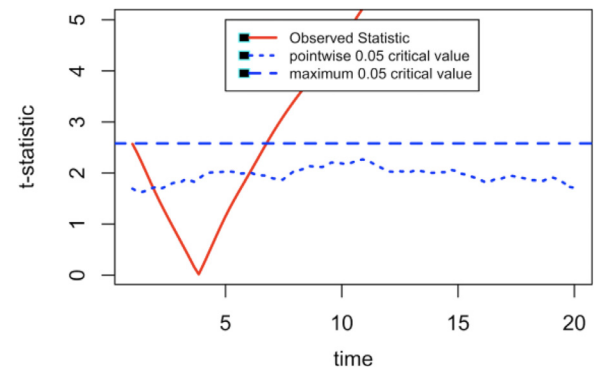


Fig. 11. A functional *t*-test of real syllables (T3 in T3 + T1/T2/T4) between Mandarin and American speakers; The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

allophonic variants (half third sandhi tone and third sandhi tone) produced by native Mandarin speakers can be clearly differentiated, where the half third sandhi tone exhibited a falling slope for the most part of the contour and the third sandhi tone was raised to a great extent. However, compared to the native speakers, the tonal contours of the two variants produced by Cantonese speakers did not differ to the same extent, where the half sandhi tone still exhibited a rising portion and the third sandhi tone did not rise high enough. The tonal contours of the two variants produced by American speakers were even less distinctive, where the two contours remain relatively flat. A comparison among the three figures suggests a ranking of distinction among the three groups: American speakers < Cantonese speakers < Beijing speakers.

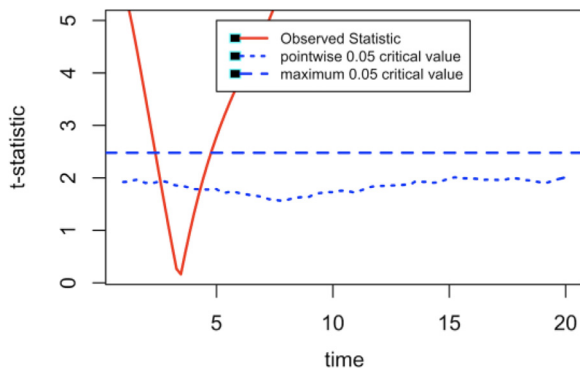


Fig. 12. A functional t-test of wug syllables (T3 + T1/T2/T4) between Mandarin and American speakers. The observed statistics are represented by a solid line, point-wise 0.05 critical values are indicated by a dotted line, and the maximum 0.05 critical values are represented by dashed lines.

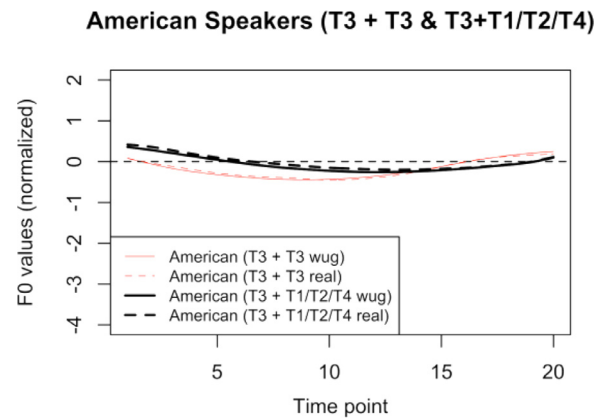


Fig. 15. A comparison of two allophonic variants produced by American speakers.

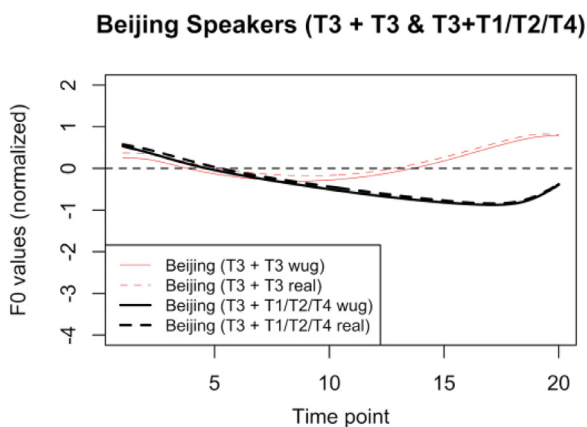


Fig. 13. A comparison of two allophonic variants produced by Beijing speakers.

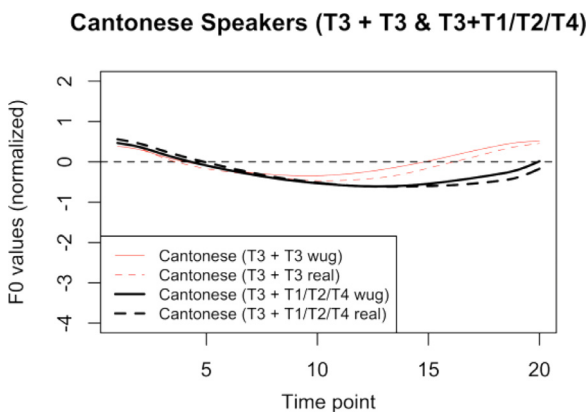


Fig. 14. A comparison of two allophonic variants produced by Cantonese speakers.

4. Discussion

4.1. Tone Sandhi Rule Applications by Cantonese and American Learners

The current study aims to answer three research questions, and our results made three empirical contributions to the field. The first question is whether pitch contours realized on the Mandarin third- and half-third sandhi tones are similar in real and wug words among native Cantonese and American English speakers. To answer this question, we compared the third- and the half-third sandhi tonal contours produced by both

groups of speakers on real and different types of wug words. Overall, the analyses yielded a non-significant difference in pitch contour realizations on real and wug words for both types of sandhi tones and for both groups of non-native speakers, suggesting that the same underlying mechanism is likely involved in their tone sandhi production. According to Nixon et al. (2015), equal effects should be found for both real and Wug morphemes if sandhi and non-sandhi forms are parts of the abstract T3 category. Therefore, similarities in pitch contours realized on real and wug morphemes by L2 learners suggest that surface sandhi contours are encoded as part of the abstract representation of Tone 3 category rather than lexically represented as part of a morpheme.

The second question we asked was whether Cantonese and American English speakers' production of sandhi tones are equally as accurate as that of native speakers on real words and wug words. In regards to this question, despite similarities in their sandhi tone production in real and wug words, both Cantonese and American English production of the sandhi tones remain non-native like.

Specifically, Cantonese speakers' production of the third sandhi tones significantly differed from those of Mandarin speakers. In general, Cantonese speakers tended to produce lower F0 values than Mandarin speakers did. Significantly different regions of F0 contours were found in the middle part to the end of vowels for both real syllables and wug syllables. The produced tonal contours of the third sandhi tone variant failed to rise as high as those produced by native speakers. To explain the lower F0 values produced by the Cantonese speakers, we compared Cantonese T2 and T5 pitch contours and Mandarin T2 and T3 pitch contours. As shown in Figure S1 and Table S7, Cantonese T2 and T5 showed higher F0 values over the whole contour than Mandarin T2 and T3, thus a direct transfer from the Cantonese T2 and T5 as the source of the exhibited lower F0 values is not readily evident. However, there might be some influence from the rising contours of existing tonal categories. Moreover, according to Hao (2012), Mandarin T3 is mapped mostly to Cantonese T4 (21), suggesting that when comparing Mandarin tones to Cantonese tones, Cantonese speakers pay more attention to the low-falling portion of the Mandarin T3. This is likely the reason why their third tone sandhi contour exhibited lower F0 values.

Cantonese speakers' production of the half-third sandhi tone on wug syllables and real syllables were also compared to those of Mandarin speakers. On real and wug syllables, F0 contours produced by Cantonese speakers had an earlier rising contour toward the end with higher F0 values than Mandarin speakers. Two possible explanations can be offered to account for the F0 rising pattern. First, it is possible that the first Mandarin T3 in the half-third sandhi context is mapped to either Cantonese T2 or T5, the two Cantonese rising tonal categories. Second, it is also possible the first Mandarin T3 remains to be perceptually linked to Cantonese T4, and the observed raising F0 at the end of the contour

is due to coarticulatory effects exerted by the following tones. Further experimentation is needed to choose between these two explanations.

Similarly, significant differences were found on F0 contours of the third sandhi tone on real and wug syllables produced by Beijing Mandarin speakers and American English speakers. The portion of significant differences on both real and wug syllables was much bigger than for Cantonese speakers, where almost the entire F0 contour differed from Mandarin speakers. American English speakers produced lower F0 values than both Mandarin and Cantonese speakers. Although they were instructed to raise F0 contours to produce the third sandhi tone, there are no existing tonal categories in their L1—especially rising tones—to be mapped to, which may lead to their retention of low F0 values in the underlying T3 form and thus more incomplete computation compared to Cantonese speakers.

More differences were also found between Mandarin and American English speakers on both real and wug syllables for the half-third sandhi. American English speakers produced higher and flatter F0 values than both Mandarin and Cantonese speakers. Unlike Cantonese, American English does not have any existing tonal categories that may affect American speakers' speech production of half-third sandhi tones. Therefore, they may have paid more attention to the truncation of the underlying T3 (from 213 to 21), but failed to articulate an allophonic tone with a falling slope as native speakers did, which may be due to their poor perception of tonal contours (e.g. *Gandour and Harshman, 1978*), leading to less accurate allophonic tonal representations.

In general, the distinction of tonal contours in the third sandhi and half third sandhi tone produced by L2 learners is less discernible than native speakers. Our results thus support the “shallow” hypothesis, initially proposed for less detailed syntactic representations in L2 learners (*Clahsen and Felser, 2006*). The current study found similar results in the application of tone sandhi rules, where L2 learners tended to show less detailed and less accurate production of tonal contours, due perhaps to less detailed phonological representations of allophonic variants.

In addition, the acquisition of allophonic variants can be viewed as acquisition of phonetic systems based on statistical learning. In first language acquisition, *Maye, Gerken & Werker (2002)* found that infants are sensitive to statistical distributions of phonetic variation, which can affect whether they can discriminate speech sounds. *Werker and Curtin (2005)* proposes a developmental framework for Processing Rich Information from Multidimensional Interactive Representations (PRIMIR), claiming that phonological acquisition is based on organization of rich information in speech along interactive planes, including initial biases, regularities of acoustic input and statistical learning. *Peperkamp, Le Calvez, Nadal, and Dupoux (2006)* further proposed that statistical analysis of the distribution of segments can be a good indicator of complementary allophonic distribution in artificial copra. However, distribution plus linguistic constraints (i.e. constraint 1: allophones and the default segment are similar phonetically; constraint 2: allophones should be more similar to its context than the default segment) can lead to more successful learning of allophonic rules than distribution alone. In second language acquisition, learners also need to learn the similarities and dissimilarities of allophonic variants based on statistical distributions (*Shea and Curtin, 2006*), which can account for acquisition of allophonic tones. In the similarity stage, learners need to learn similarities between allophonic variants, namely third sandhi tone, half third sandhi tone and underlying T3. In the dissimilarity stage, since there is no co-occurrence of these allophonic variants in the same contexts, the learners postulate phonological alternation, and they learn to compute the differences among the variants.

However, American and Cantonese learners did not learn the dissimilarities of allophonic variants well enough to make a native-like distinction in the two allophonic sandhi tones. Nonetheless, Cantonese speakers differentiated the two tonal contours better than American speakers. Better performance among Cantonese speakers may be attributed to L1 transfer since Cantonese speakers do have existing L1 tonal categories. In producing the third sandhi tone, Cantonese speakers might

have shown positive transfer from both existing Cantonese rising tonal contours in their native language. American speakers, however, did not have existing rising tonal categories in their L1 to be mapped to and were less sensitive to change in pitch contours, leading to retained low F0 values in the underlying T3 form and less accurately computed sandhi forms. Similarly, in producing the half-third sandhi tone, Cantonese speakers may have mapped the Mandarin T3 to the rising tonal categories or their T4 (21) whose contour is more closely matched to the low-falling portion of the Mandarin T3. In contrast, American English speakers did not map it to existing tonal categories and failed to perceive the falling tonal contours, so they produced the tonal variant with a flatter contour. Our results are consistent with the study supporting L1 transfer in acquiring allophones at the segmental level (*Shoemaker, 2014; MacLeod and Fabiano-Smith, 2015*), and we have further showed evidence supporting L1 transfer in learning allophones at the suprasegmental level.

4.2. Phonetic Bias in Rule Applications

The third question we asked was whether the production of the third-tone and the half-third tone sandhi is equally accurate in real words and all types of wug words among Cantonese and American English speakers. As previously discussed, the half-third sandhi rule is considered to be phonetically more natural than the third-tone sandhi rule. According to *Zhang and Lai (2010)*, a more phonetically motivated sandhi rule may be realized more accurately on wug words than on real words. Their own findings and those of *Chen and Li (2017)* obtained from native Mandarin speakers in their application of the two sandhi rules are consistent with this suggestion. However, we find little evidence to suggest the presence of the phonetic bias in sandhi rule application among non-native speakers.

Specifically, we found that Cantonese speakers produced similar tonal contours between real vs. wug words for both rules. Compared to native Mandarin speakers, they produced significantly different tonal contours of the third sandhi tone on all types of wug words except for AGAO (percentage of significant differences: mean = 0.14; sd = 0.11). In applying the half-third sandhi tone rule, only T31a on *AOAO and AOAG was not significantly different from native Mandarin speakers (percentage of significant differences: mean = 0.29; sd = 0.11). No significant differences were found in the proportions as indicated by a Wilcoxon signed-rank test ($V = 3, p = 0.31$). For American English speakers, their production also did not differ on either real or wug words for the two rules. They produced different F0 contours from native speakers on all types of wug words for the third T3 (percentage of significant differences: mean = 0.5; sd = 0.25) and the half third sandhi tone (percentage of significant differences: mean = 0.44; sd = 0.09). There was no significant difference in the proportion according to a Wilcoxon signed-rank test ($V = 9, p = 0.81$). These results suggest a lack of bias in the application of a phonetically more motivated tone sandhi rule by tonal and non-tonal non-native speakers. However, variations in L2 productions of sandhi tones may have also obscured any differences in the applications of these two types of sandhi rules. In addition, the results regarding ease of learning between tone sandhi rules by L2 learners are not consistent. *Zhang (2013)* reported that learners tend to perform better in the third tone sandhi rule, whereas *Yang (2016)* found the half sandhi rule was easier for learners. Similar to studies on Mandarin phonemic tone production, many factors (e.g., differences in proficiency, the mode of instruction, and the nature and the amount of input) may affect the results in the studies of allophonic tones. Future studies are called for to examine how phonetic bias interacts with other factors in affecting application of tone sandhi rules.

5. Conclusions

The current study examined applications of two Mandarin tone sandhi rules by tonal and non-tonal speakers. The results showed that

the computation mechanism was likely to be involved in tone sandhi rule applications by both the Cantonese and the American English speakers. However, their surface F0 contours were still significantly different from those of native speakers. The sandhi tone contours produced by Cantonese speakers likely resulted from the perceptual mapping of the Mandarin T3 in both sandhi contexts to existing Cantonese tones whereas American speakers' production seems to be more psycho-acoustically based with greater attention paid to low F0 values in the tonal contour of citation T3 in computing its sandhi forms. Finally, no phonetic bias in sandhi rule application was observed for either group due perhaps to a large individual variation.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.specom.2019.10.008.

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